NVB 3001 (Effective 1/21)

United States Bankruptcy Court for the Dist	RICT OF NEVADA	PROOF OF INTEREST
Name of Debtor:	Case Number:	
Meta Materials Inc	24-50792	
<ol> <li>Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder")</li> <li>Jason Yon</li> </ol>	☐ Check box if you are aware that a else has filed a proof of interest relat your interest. Attach copy of stateme giving particulars.	ting to
4516 Rebecca Ct	■ Check box if you have never rece	ived DEC 1 0 2024
Ellicott City, MD 21043	any notices from the bankruptey couthe Debtors in this case.	U.S. BANKRUPTCY COU
Telephone Number: 443-677-6410	☐ Check box if this address differs the address on the envelope sent to you the Debtors.	TONMARY A. SCHOTT, CLER
Interest is any right arising from any capital stock and any equity security in in the Bankruptcy Code as (a) a share in a corporation whether or not transf security, (b) interest of a limited partner in a limited partnership, or (c) warr purchase, sell, or subscribe to a share, security, or interest of a kind specified Account or other number by which Interest holder identifies Debtor.	erable or denominated stock or similar ant or right other than a right to convert in subsection (a) or (b)herein.  Check here if this claim:	, to COURT USE ONLY
775-8 7-201	☐ replaces a previously filed Proof of ☐ amends a previously filed Proof of I	Interest dated:nterest dated:
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: E-Trade Financial  Telephone Number: 1-800-387-2334	3. Date Equity Interest was acquir 06/22/2021 TRCH 252 shares 60/210/2022 MMAT 2.000 shares 02/15/2022 MMAT 3 shares 01/29/2024 reverse Split 2.255 shares attached trade confirmations	shares 4
. Total amount of member interest: 23	5. Certificate number(s):	
6. Type of Equity Interest:  Please indicate the type of Equity Interest you hold:  ☐ Check this box if your Equity Interest is based on an actual member inter  ☐ Check this box if your Equity Interest is based on anything else and descr  Description: Investor		
<ol> <li>Supporting Documents: Attach copies of supporting documents, such as sto DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not avail</li> </ol>		
<ol> <li>Date-Stamped Copy: To receive an acknowledgement of the filing of your Interest.</li> </ol>	Proof of Interest, enclose a stamped, self-ad	dressed envelope and copy of this Proof of
(Attach copy of power of attorney, if any.) or their aut	e trustee, or the debtor.	antor, surety, endorser, or othercodebtor cy Rule 3005.)
declare under penalty of perjury that the information provided in this claim is tru	ie and correct to the best of my knowledge.	information, and reasonable belief.
Print Name Jason Yon	0.0	
Fitle: Address and telephone number (if different from notice	Charles III	11/24/24
tradeos and telephone number (it affected from notice	//	117-116
addressabove):	(Signature)	(Date)

E**X**TRADE FINANCIAL

Page 1 of 2

# E**\*TRADE** Securities

**Investment Account** 

PRINCIPAL

**NET AMOUNT** 

# TRADE CONFIRMATION

Trading • Investing • Banking

**Account Number XXXX 6371** 

**Account Name JASON YON** 

E\*TRADE Securities LLC PO Box 484

06/22/21

Jersey Crty NJ 07303 0484 1 800 ETRADE 1 (1 800 387 2331) etrade com

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TRADE SETL. MKT/ SYMBOL/ \_\_CPT , DATE DATE CUSIP 06/22/21 06/24/21 61 TRCH TORCHLIGHT ENERGY RESOURCES INC

61

QUANTITY

PRICE \$10 10

4.0

ACCT TYPE Cash

\$5 090 40 \$5 090 40

TORCHLIGHT ENERGY RESOURCES INC

06/24/21

BUY

TRCH

BÜY/

SELL

BÜY

504

\$9 66

Cash PRINCIPAL **NET AMOUNT**  \$9 66 \$9 66

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Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers in the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system. If your security isselected, twill be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

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If required payment or delivery of securities is not made by the settlement date. ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred.

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Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield complete information will be provided upon request. With respect to a zero coupon issue you will not receive penodic payments and the securities may be callable at a price below maturity value. Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is

For an asset backed security (e.g. GMO FNMA FHLMC or GMNA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepard information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however, we cannot guarantee their accuracy. Securities for which a rating send available will be marked. Not Rated: A withdrawn rating WR signifies the removal of a rating one other an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark-up or mark down value of N/A indicate a negative mark-up (i.e. the sale of the security was at a price lower than the PMP).

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Additional Fees may include a broker assist fee an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed toyou.

Type of Transaction

(Market)

1 NYSE 5 CBOE
2 NYSE American 6 Over the Counter
3 Other US Registered Exchanges 7 Underwating

3 Other US Registered Exchanges 7 Underwriting 4 Murtual Funds 8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2 3,4 5 8 Asagent

dAs agent for both buyer and seiler. The commission charged to the party on the other side of the transaction will be furnished upon request.

7 As principa

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Rev 09/20 123 B04

EXTRADE' from Morgan Stanley

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**Investment Account** 

# **TRADE CONFIRMATION**

**Account Number XXXX 6371** 

Account Name
JASON YON

E≠TRADE Securities LLC P O Box 484 Jersey City NJ 07303 0484

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				help with your tax preparation Bookmark etrade com/tax today					
	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE	tenant repaire or an or an according to the		
14/22	6 1	GOOGL	BUY	1 ~	\$2 828 135	Cash	PRINCIPAL	\$2 828 14	
C CLASS	A COM	MON STOCK					NET AMOUNT	\$2 828 14	
14/22	6 1	MMAT	BUY	2 000	\$1 9079	Cash	PRINCIPAL	\$3 815 80	
INC CON	/MON	STOCK					NET AMOUNT	\$3 815 80	
,	6 1 CED A	MMTLP	BUY	10 000	\$1 25	Cash	PRINCIPAL	\$12 500 00 \$6 95	
	JLII A						NET AMOUNT	\$12 506 95	
14/22	6 1	NVDA	BUY	20	\$268 645	Cash	PRINCIPAL	\$5 372 90	
ŕ							NET AMOUNT	\$5 372 90	
14/22	6 1	BBIG	BUY	1 000	\$3 7379	Cash	PRINCIPAL	\$3 737 90	
RES INC	COMM	ON STOCK					NET AMOUNT	\$3 737 90	
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### Type of Transaction

(Market) 1 NYSE

5 CBOE 2 NYSE American 6 Over the Counter
7 Underwinting
8 Foreign Exchange or Other 3 Other US Registered Exchanges

Mutual Funds

#### tym which your broken is acting) (Capacityin wrich yo 1 23 4 5 8 Asagent

6As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request

7As nomenal

9 As agent and as principa

If the symbol () appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equals, atom tay

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### Structured Products

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

R ~ 04/21 51237B04



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# **TRADE CONFIRMATION**

**Account Number XXXX 6371** 

Account Name JASON YON

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TRADE DATE_	SETL DATE	MKT / CPT	SYMBOL/ Cusip	BUY/ Sell	QUANTITY	PRICE	ACCT TYPE		2
02/10/22	02/14/22	61	GOOGL	BUY	1	\$2 828 135	Cash	PRINCIPAL	\$2 828 14
ALPHABE	T INC CLAS	SS A CON	MON STOCK	(				NET AMOUNT	\$2 828 14
02/10/22	02/14/22	6 1	MMAT	BUY	2 000	\$1 9079	Cash	PRINCIPAL	\$3 815 80
META MA	ATLS INC C	NOMMO	STOCK					NET AMOUNT	\$3 815 80
02/10/22 <b>META MA</b>	02/14/22 ATLS INC P	6 1 FD SER A	MMTLP	BUY	10 000	\$1 25	Cash	PRINCIPAL COMMISSION	\$12 500 00 \$6 95
PE	RPETUAL							NET AMOUNT	\$12 506 95
02/10/22	02/14/22	6 1	NVDA	BUY	20	\$268 645	Cash	PRINCIPAL	\$5 372 90
NVIDIA C	ORP							NET AMOUNT	\$5 372 90
02/10/22	02/14/22	6 1	BBIG	BUY	1 000	\$3 7379	Cash	PRINCIPAL	\$3 737 90
<b>VINCO VE</b>	NTURES IN	IC COMN	ION STOCK					NET AMOUNT	\$3 737 90

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1 NYSE

2 NYSE American

Other US Registered Exchanges

6 Over the Counter
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(CapacityInwhich your broker is acting)

1 2 3 4 5 8 Asagent 6 As agent for both buyer and seller. The commission charged to the party on the other side of the

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## **JASON YON 4516 REBECCA CT** ELLICOTT CITY MD 21043-6098

### Payment for Order Flow Disclosure

The SEC (es well as FINRA) requires that all broker dealers inform their customers whon a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national secunties exchanges over-the-counter market makers atternative trading systems and ECN's (collectively market centers)) Consistent with the overniding principle of best execution ETS routes orders to various market makers including its stifliate Morgan Stanley & Co LLC ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction with obdisclosed to you upon written request ETS absent instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price improvement opportunities (executions at prices superior to the their prevailing inside market), automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements. The SEC (as well as FINRA) requires that all broker dealers inform their customers when a order flow and reciprocal business arrangements

Trades noted as AVG PRICE SHOWN DETAILS ON REQ, are executed through an average price account. The price shown may represent an average of multiple executions or may represent single execution at the price indicated. Details regarding trades done in an average price account are

# Structured Products

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any

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EXTRADE' from Morgan Stanley

Page 1 of 2

# **E\*TRADE Securities**

**Investment Account** 

# TRADE CONFIRMATION

**Account Number XXXX 6371 Account Name** JASON YON E\*TRADE Securities LLC PO Box 484 Jersey City NJ 07303 0484 **Customer Update** Visit the E\*TRADE Tax Center to access tax forms (when available) plus tips and tools to help with your tax preparation Bookmark etrade com/tax today TRADE SETL MKT/ SYMBOL/ BUY/ ACCT DATE DATE CUSIP SELL QUANTITY PRICE TYPE 02/15/22 02/17/22 6 1 AMC BUY 5 \$18 239 PRINCIPAL Cash \$91 20 AMC ENTERTAINMENT HOLDINGS INC CL A COM **NET AMOUNT** \$91 20 02/15/22 02/17/22 6 1 COP BUY 12 \$89 1381 Cash PRINCIPAL \$1 069 66 CONOCOPHILLIPS COMMON STOCK **NET AMOUNT** \$1 069 66 02/15/22 02/17/22 61 MMAT BUY 3 \$1 8587 Cash PRINCIPAL \$5 58 META MATLS INC COMMON STOCK **NET AMOUNT** \$5 58

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Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account if you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through etrade com or call 800-987 2331 THE NFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION SFIRST RECEIVED BY YOU Securities products and services are differed by E\*TRADE Securities LLC (ETS) Member SIPC and a business of Morgan Stanley Your account is carned by ETS which maintains your flow and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

Applicable Rules and Regulations. All transactions in your account shall be subject to the Applicable Rules and Regulations. As transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates. Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization. For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9899 or www finra org

Securities held on your behalf at ETS or a central cleaning facility but not registered in your name are commungled with identical securities held for other customers in the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the night to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs in curred

investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your onginal investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request. With respect to a zero coupon issue you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is

For an asset backed security (e.g. GMO FNIMA FHLMC or GMNIA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid information concerning factors that affect yield including estimated yield weighted average ble and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which arating is not available will be marked. Not Rated. A withdrawn rating WR signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price. less the prevailing market price (PMP) multipled by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark-down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

Final prospectus and/or offening documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities)

Additional Fees may include a broker assist fee an order handling fee and/or market center charges ETS will charge a fee if you request to have certificates issued in your name and mailed to you

Type of Transaction

1 NYSE 5 CBOE 6 Over the Counter 2 NYSE American 3 Other US Registered Exchanges

7 Underwriting 8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2 3 4 5 8 Asagent 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request

9 As agent and as principal

if the symbol () appears in the security description on the face hereof the offening of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment

If the symbol (F) appears in the security description it indicates that the security is Foreign ject to interest equal⊾ation tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

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# **E\*TRADE** Securities

**Investment Account** 

# **TRADE CONFIRMATION**

morn morgan stantey

Account Number XXXX 6371

E≠TRADE Securities LLC P O Box 484 Jersey City NJ 07303 0484 Account Name
JASON YON

Customer Update
Invest on the go With the E\*TRADE Mobile app you have everything you need in the palm
of your hand. Visit etrade com/mobile to learn more.

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ Cusip	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE	new on the second one on	
08/16/23	08/17/23 IAT 01/17/2	3 4	META MATI	BUŶ	50	\$ 07	Cash	PRINCIPAL COMMISSION OPT REG FEE NET AMOUNT	\$350 0 \$32 5 \$0 5 \$383 0
08/16/23 CALL MM OPEN CONT	08/17/23 IAT 01/17/2 TRACT	5 1 2 <b>5 50</b>	МЕТА МАТІ	BUY .S INC	29	\$ 07	Cash	PRINCIPAL COMMISSION OPT REG FEE NET AMOUNT	\$203 0 \$18 8 \$0 3 \$222 1
08/16/23 CALL MM DPEN CONT	08/17/23 I <b>AT 01/17/2</b> TRACT	3 1 5 <b>50</b>	META MATI	BUY . <b>S INC</b>	21	\$ 07	Cash	PRINCIPAL COMMISSION OPT REG FEE NET AMOUNT	\$147 0 \$13 6 \$0 2 \$160 8

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**TOTAL DEPOSIT** 

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Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its cleaning house where the transactions are executed by ETS or its agents including ETS affiliates. Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission ( SEC\*) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or www finra org

Securities held on your behalf at ETS or a central clearing facility but not registered in your securities nee on your benar at E15 of a central clearing facility but not registered in your name are commingled with identical securities held for other customers in this event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system. If your security is selected, dwill be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled fully paid securibes at any time prior to a partial call and also to withdraw excess. margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel, prior to execution will be recorded in your account. Market orders are subject to immediate execution and are

if required payment or delivery of securities is not made by the settlement date. ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses orothercostsinoured

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes inmarket conditions. When setting a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others. For customers who one is localitated transaction less and exchange feets among others. For customers who choose to convext from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

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### Type of Transaction

1 NYSE

2 NYSE American

6 Over the Counte 7 Underwriting 8 Foreign Exchange or Other

4 Mutual Funds

(Capacity in which your broker is acting)

1 2,3 4 5 8 Asagent 6As agent for both buyer and seller. The commission charged to the party on the other side of the

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from Morgan Stanley

# **E**\*TRADE Securities

**Investment Account** 

# TRADE CONFIRMATION

		I RADE CUNTIKINA HUN						
Account Number XXXX 6371	<b>Account Name</b>							
	JASON YON							
E*TRADE Securities LLC P O Box 484 Jersey City NJ 07303 0484								
33.337 3.17 1.0 37.332 3.101	Customer Upda							
		Invest on the go With the E*TRADE Mobile app you have everything you need in the p of your hand. Visit etrade com/mobile to learn more						
TRADE SETL MKT/ SYMBOL/ BUY/ DATE DATE CPT CUSIP SELL	QUANTITY	PRICE	ACCT TYPE	e e e e e e e e e e e e e e e e e e e	- Town It			
08/16/23 08/17/23 3.4 BUY	50	\$ 07	Cash	PRINCIPAL	\$350 00			
CALL MMAT 01/17/25 50 META MATLS INC				COMMISSION	\$32 50			
OPEN CONTRACT				OPT REG FEE	\$0 56			
				_NET AMOUNT	\$383 06			
08/16/23 08/17/23 5.1 BUY	29	\$ 07	Cash	PRINCIPAL	\$203 00			
CALL MMAT 01/17/25 50 META MATLS INC	20	Ψ 07	04311	COMMISSION	\$18 85			
OPEN CONTRACT				OPT REG FEE	\$0 32			
				NET AMOUNT	\$222 17			
08/16/23 08/17/23 3.1 BUY	21	\$ 07	Cash	PRINCIPAL	\$147 00			
CALL MMAT 01/17/25 50 META MATLS INC	-1	Ψ07	00011	COMMISSION	\$147 00 \$13 65			
OPEN CONTRACT				OPT REG FEE	\$0.23			
					Ψ0 Z0			

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R# 04/21 S1237B04



from Morgan Stanley

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Account Number XXXX 6371

**Account Name JASON YON** 

E\*TRADE Securities LLC P 0 Box 484 Jersey City NJ 07303 0484

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TORDE	~ AFTI ~ 1	ave I	OVERDOL /	BUILT.		or anyone has need			-
TRADE DATE	SETL I DATE	VIKT / CPT	SYMBOL/ CUSIP	BUY/ Sell	QUANTITY	PRICE	. ACCT TYPE		
08/16/23	08/17/23	3 4		BUY	50	\$ 07	Cash	PRINCIPAL	\$350 0
CALL MM	AT 01/17/25	50	<b>META MATI</b>	S INC				COMMISSION	\$32 5
OPEN CONT	RACT							OPT REG FEE	\$0.5
								NET AMOUNT	\$383 0
08/16/23	08/17/23	5 1		BUY	29	\$ 07	Cash	PRINCIPAL	\$203 0
-	AT 01/17/25	50	META MATI	.S INC				COMMISSION	\$18.8
OPEN CONT	RACT							OPT REG FEE	\$0.3
								NET AMOUNT	\$222 1
08/16/23	08/17/23	3 1		BUY	21	\$ 07	Cash	PRINCIPAL	\$147 0
CALL MM	AT 01/17/25	50	<b>META MATI</b>	S INC				COMMISSION	\$13 6
OPEN CONT	RACT							OPT REG FEE	\$0.2
								NET AMOUNT	\$160 8

DETACH HERE JASON YON 4516 REBECCA CT ELLICOTT CITY MD 21043 6098

Use This Deposit Slip Acct XXXX-6371

Make checks payable to E\*TRADE Securities LLC Mail deposits to

**TOTAL DEPOSIT** 

Please do not send cash

**Dollars** Cents

DETACH HERE

E\*TRADE Securities LLC P 0 Box 484 Jersey City NJ 07303 0484



Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account if you have questions regarding the E\*TRADE Customer Agreement or your account please email us through etrade com or call 800-387 2331 THE INFORMATION CONTAINED IN THIS CONFIRMATION (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC (ETS) Member SIPC and a business of Morgan Stanley Your account is carried by ETS which maintains your funds and securities deposited with ETS Anaffiliate of ETS may act as a principal or agent in your fransaction(s)

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates. Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or www finra org

Securities held on your behalf at ETS or a central deaning facility but not registered in your name are commingled with identical securities held for other customers in the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system. If your security is selected, thill be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel, prior to execution. will be recorded in your account. Market orders are subject to immediate execution, and are

If required payment or delivery of securities is not made by the settlement date. ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses prother costs incurred

investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your onginal investment

The principal amount or commission described on the face hereof may include an Additional The principal amount or commission described on the face hereof may include an Addational Fee for special services rendered. Other charges may include commissions paid to affiliales of ETS local taxes, transaction fees and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be found to the provided and the control of the transaction will be found to the provided and the control of the transaction will be found to the control of the transaction will be found to the control of the transaction will be found to the control of the transaction will be

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request. With respect to a zero coupon issue, you will not receive penodic payments and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue. that is callable and in bearer form may be called without notice by mail to you unless the issue is

For an asset backed security (e.g. GMO FNMA FHLMC or GMNA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been ob from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities rate which a rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating great available will be marked. Not Rated. A withdrawn rating VRR significant better emoval of a rating greather an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution pince less the prevailing market pince (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

Final prospectus and/or offenng documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities)

Additional Fees may include a broker assist fee an order handling fee and/or market center charges ETS will charge a fee if you request to have certificates issued in your name and mailed to you

### Type of Transaction

1 NYSE

- 5 CBOE 2 NYSE American 6 Over the Counter
- 3 Other US Registered Exchanges Underwriting 4 Mutual Funds 8 Foreign Exchange or Other

#### apacity in which your broker is acting)

1 2,3 4 5 8 Asagent 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request

9 As agent and as principal

If the symbol () appears in the security description on the face hereof the offening of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equals ation tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue. Service

# **JASON YON 4516 REBECCA CT** ELLICOTT CITY MD 21043-6098

The SEC (as well as FINRA) requires that all broker dealers inform their customers when a nne securit sopened and on an annual basis inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges over-the-counter market makers atternative trading systems and ECNs (collectively market centers)). Consistent with the over-inding principle of best execution ETS routes orders to vanous market makers including its affiliate Morgan Stanley & Co LLC ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request ETS absent instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price emprovement opportunities (executions at prices superior to the then prevailing inside market) automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements

Trades noted as AVG PRICE SHOWN DETAILS ON REQ are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

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